

**FOR SHAREHOLDERS HOLDING SHARES OF OPTIMUS FINANCE LIMITED IN PHYSICAL FORM:**

**SEBI Circular on Common and Simplified Norms for Processing Investor's Service Request by RTAs and Norms for furnishing PAN, KYC and Nomination details.**

Dear Shareholder (*holding Physical Share Certificate*),

Attention of the holders of Securities in physical mode is invited to SEBI Master Circular SEBI/HO/MIRSD/POD-1/P/CIR/2024/37 dated May 07, 2024 and Circular SEBI/HO/MIRSD/POD-1/P/CIR/2024/81 dated June 10, 2024 (hereinafter referred to as 'SEBI Circulars') and the directive issued by SEBI to Registrar & Transfer Agents ('RTA') of the Company, i.e. M/s. Link Intime India Private Limited.

SEBI vide these circular(s) has mandated the furnishing of PAN, Address with PIN code, Nomination, Contact details, Bank Account details and Specimen Signature by holders of physical securities for their Folio Nos.

SEBI Circulars also states that –

1. Securityholders holding securities in physical form shall be eligible for receipt of any payment including dividend, interest or redemption payment as well as to lodge grievance or avail any service request from the RTA even if 'choice of nomination' is not submitted by these securityholders.
2. Payments including dividend, interest or redemption payment withheld presently by the Listed Companies/RTAs, only for want of 'choice of nomination' shall be processed accordingly.

While Securities and Exchange Board of India (SEBI) has vide its Circular No.: SEBI/HO/MIRSD/POD-1/P/CIR/2024/81 dated June 10, 2024, relaxed the rules for existing investors by not freezing accounts due to non-submission of Nomination, it strongly encourages all investors to update their Nomination details to ensure seamless transmission of assets and prevent the accumulation of unclaimed assets. The necessary forms for providing or opting out of the Nomination are available in the annexures of the Circular. Shareholders are urged to take prompt action to comply with these requirements to avoid further hassles.

RTA shall revert the frozen folios to normal status upon receipt of all the documents/details.

Frozen folios shall be referred by the RTA / listed company to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and/or Prevention of Money Laundering Act, 2002, if they continue to remain frozen as on December 31, 2025.

**Format for KYC:**

<b>Form</b>	<b>Download - Form (PDF)</b>	<b>Preview - Form</b>	<b>Fillable - Form</b>	<b>Purpose</b>
Form ISR-1	<a href="#">Download-ISR-1</a>	<a href="#">Preview-ISR-1</a>	<a href="#">Fillable-ISR-1</a>	Request for registering PAN, KYC details OR changes/ updation thereof <i>(only for securities held in Physical form)</i>
Form ISR-2	<a href="#">Download-ISR-2</a>	<a href="#">Preview-ISR-2</a>	<a href="#">Fillable-ISR-2</a>	Confirmation of Signature of securities holder by Banker
Form ISR-3	<a href="#">Download-ISR-3</a>	<a href="#">Preview-ISR-3</a>	<a href="#">Fillable-ISR-3</a>	Declaration for Opting-out of Nomination by holders of physical securities in Listed Companies
Form SH-13	<a href="#">Download-SH-13</a>	<a href="#">Preview-SH-13</a>	<a href="#">Fillable-SH-13</a>	Nomination Form
Form SH-14	<a href="#">Download-SH-14</a>	<a href="#">Preview-SH-14</a>	<a href="#">Fillable-SH-14</a>	Cancellation OR Variation of Nomination